

**Dorset County Pension Fund
Common and Scheme Specific
Data Quality Report
August 2024**

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1. Introduction

In 2015, the Pensions Regulator (TPR) assumed responsibility for Public Sector Pension Schemes. Prior to this, in June 2010, TPR issued guidance on the approach that they consider to be good practice for measuring the presence of member data. Specific targets were set for data TPR deemed as 'common.'

Dorset County Pension Fund (DCPF) provide the TPR validation modules in accordance with the Regulators common and conditional data tests plus additional reports to cover extra data items. The data is extracted using standard SQL reports available on the Pension Administration system, UPM, provided by CIVICA.

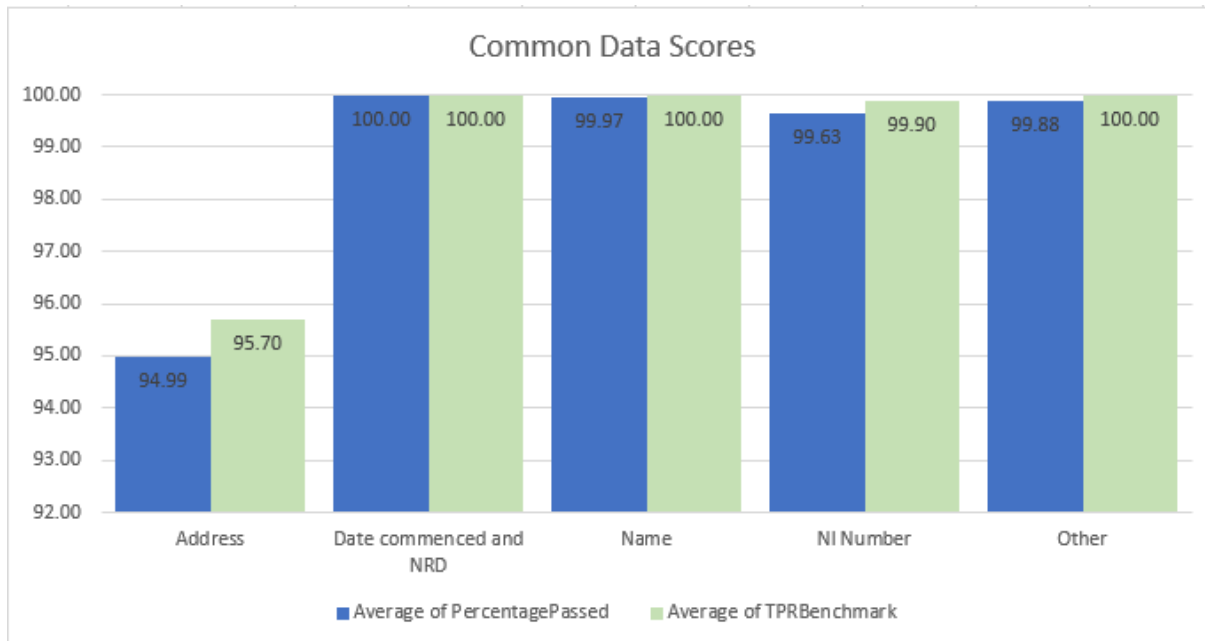
2. Data Quality

Dorset County Pension Fund has reviewed common data in line with the requirements set down by TPR. The report provides focus on the key areas of common data items tested against Dorset's data to provide focus on the key areas of common data to be addressed.

The report is based on LGPS data specifics.

3. Common Data Results

The graphs below indicate DCPF’s performance for each category. The results presented are generated from data extracted from DCPF’s Live UPM service on 31st July 2024. The overall percentage of validations for DCPF’s common data is **99.65%** which is marginally higher than 2023 score of 99.17%. The tests were conducted on 135,558, members, an increase on 2023 as the tests have been conducted across the whole fund pension records.



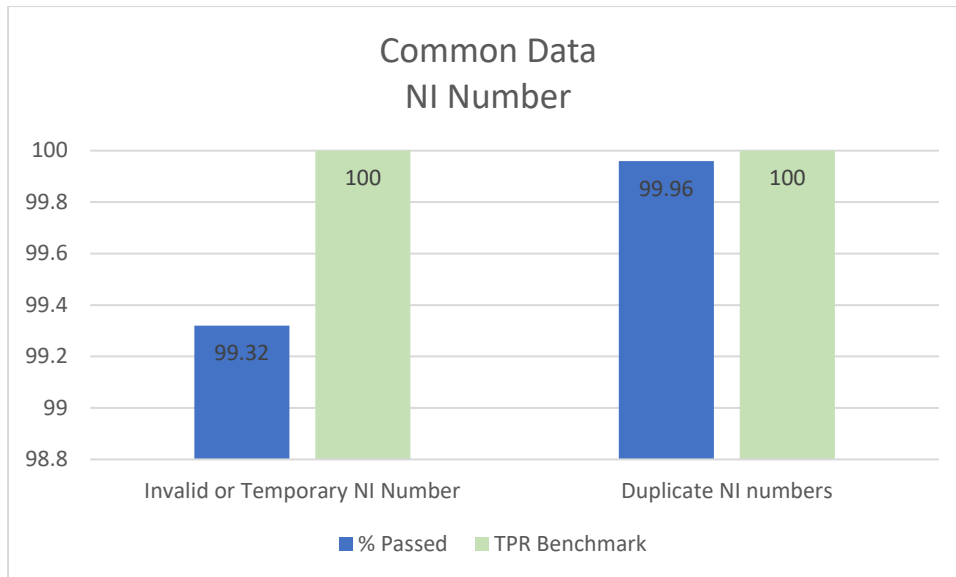
Four of the five categories met a high benchmark of greater than 98% an increase on 2023, whereby only two categories met the benchmark. The single data failure category in 2023 for concerned member addresses, achieved a score of 97.11%, an increase on the 2023 score of 94.99%. Data cleansing has been undertaken to ensure this failure achieved the TPR benchmark in 2024.

The general quality of the common data assessed for the DCPF is of high standard.

Further work is required to trace members recorded as ‘Gone Away’ to increase the address data score. The address will form part of the matching criteria for the Pension Dashboard. The fund has introduced a new process when communication posted to a member has been returned and will seek to trace the member immediately, historical members will require a trace provided by Target Professionals.

Summary of Common Data Results

3.1 National Insurance number



The number of members failing has reduced, resulting in a 0.02% decrease in the past rate in 2024.

Of the 927 members with a Temporary NI Number a decrease of 46 members in 2023, 176 fall within the core test requirements.

- Active 7
- Deferred 20
- Pensioner 149

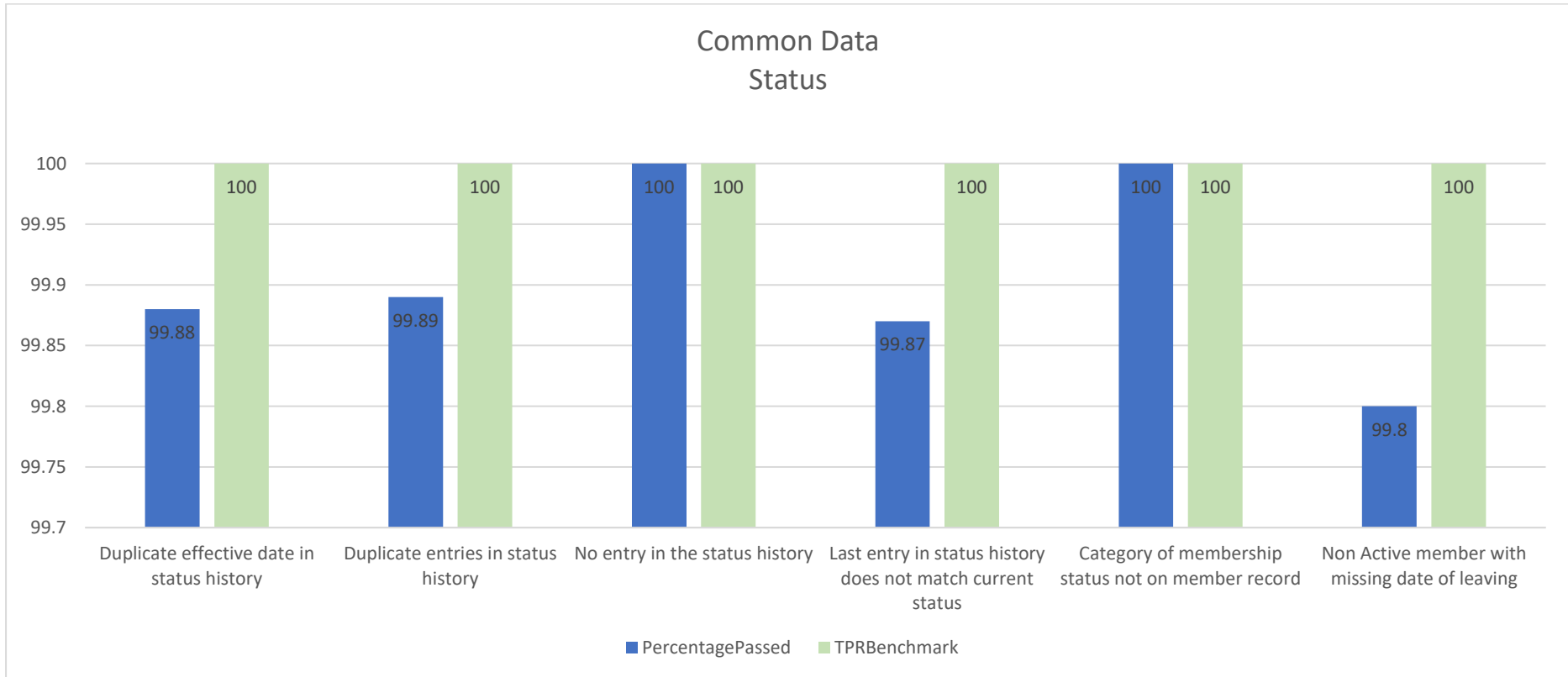
The Pensioner Records National Insurance Number report is currently performing negatives tests which includes Dependent Pensions whereby the member is under the age of 16 and will not be in receipt of National Insurance number. This has been raised with CIVICA.

The Active Records National Insurance Number report fails on new members of the scheme which are updated monthly from the Employer Monthly Returns when the correct National Insurance Number has been submitted by the HMRC to the employer via the RTI interface.

The Deferred Records National Insurance Number report fails on members who have been deferred for a several years. These will be data cleansed before next year.

The Duplicate NI numbers of report failures are mainly for historical deceased members, these records have already been addressed and cleansed to the best of our knowledge due to the status of the member.

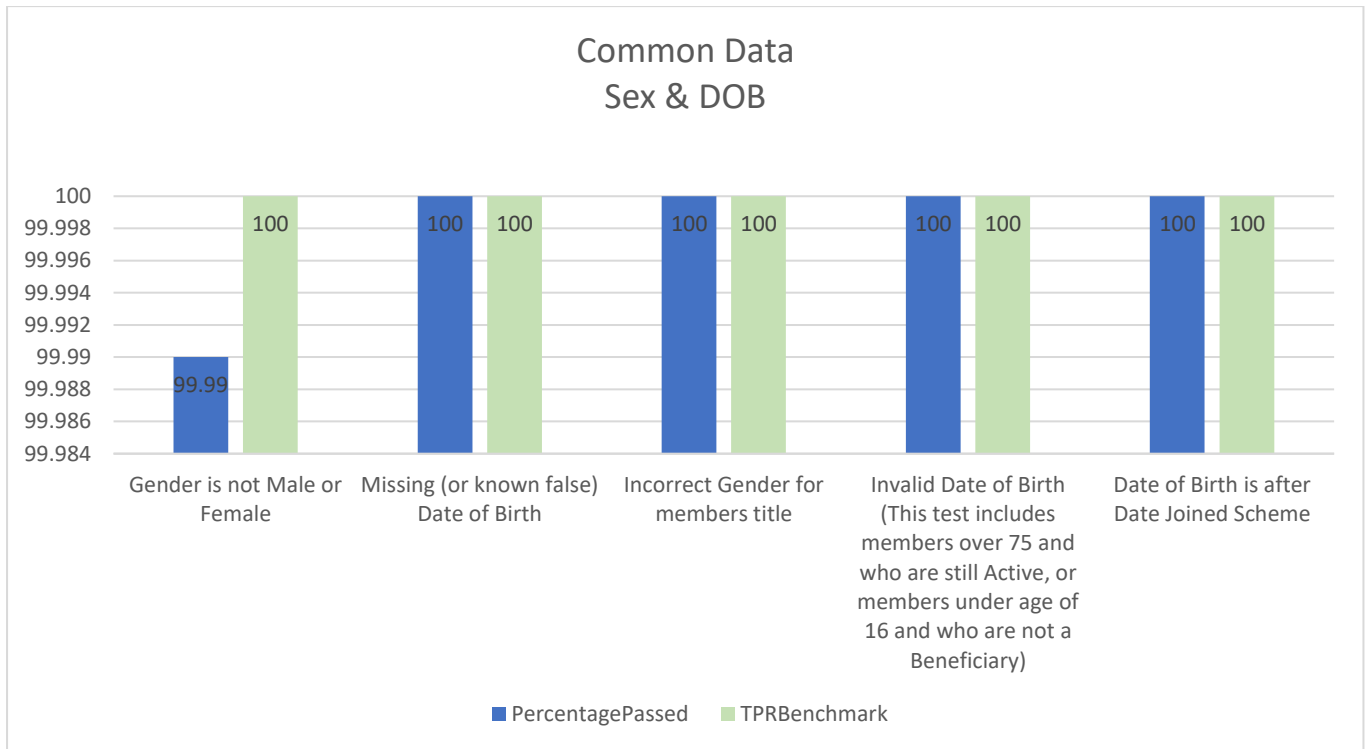
3.2 Pension Status



The number of members failing tests in this category is 691, a decrease of 770 on 2023 data.

209 of the fails are for 'Non-Active member with missing date of leaving' further data cleansing is required before the 2025 extract.

3.3 Gender and Date of Birth



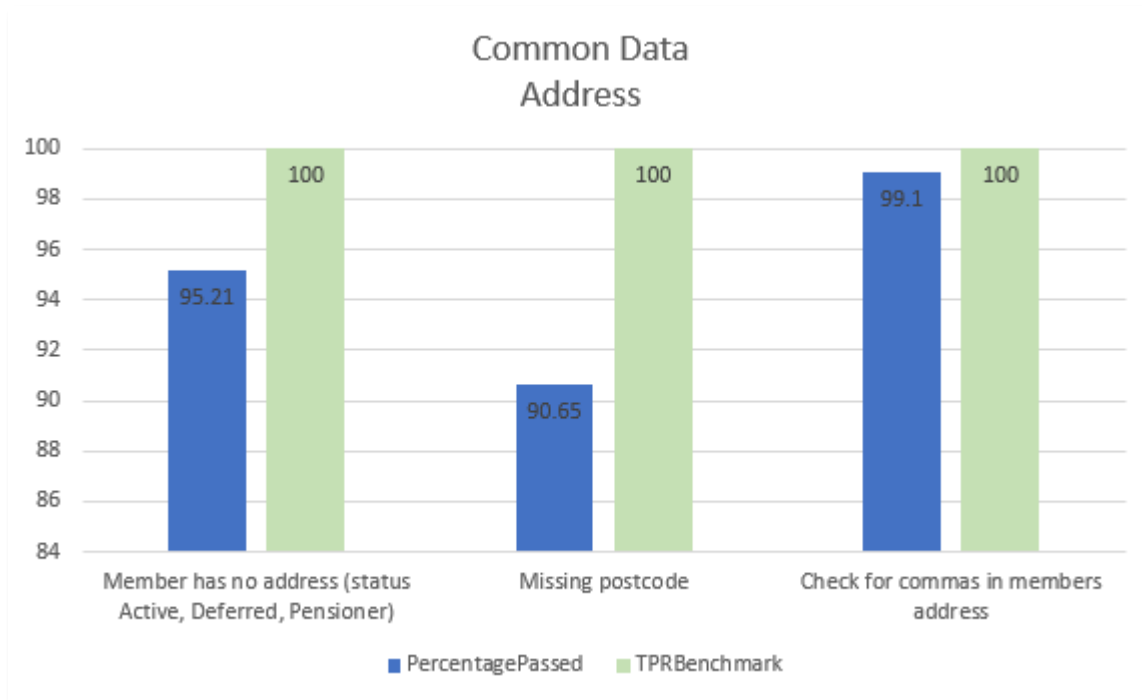
There are 11 member records that do not have a valid Gender a decrease of 3 on 2023. These records be data cleansed before next year’s data extract.

The process map within the Pensions Administration System will be amended to ensure the gender is amended when creating new records to prevent this from happening.

There are 5 member records that have an invalid DOB an increase of 1 on 2023. The failures are because of employers bringing members into the scheme over age 75 or not providing a DOB. The employers will be contacted, and the records will be data cleansed before 2024 extract.

The remaining 3 have a retirement process in progress.

3.4 Address



The number of members failing tests in this category, 4,154 a decrease of 308 on 2023.

3,580 members are recorded as 'gone away'.

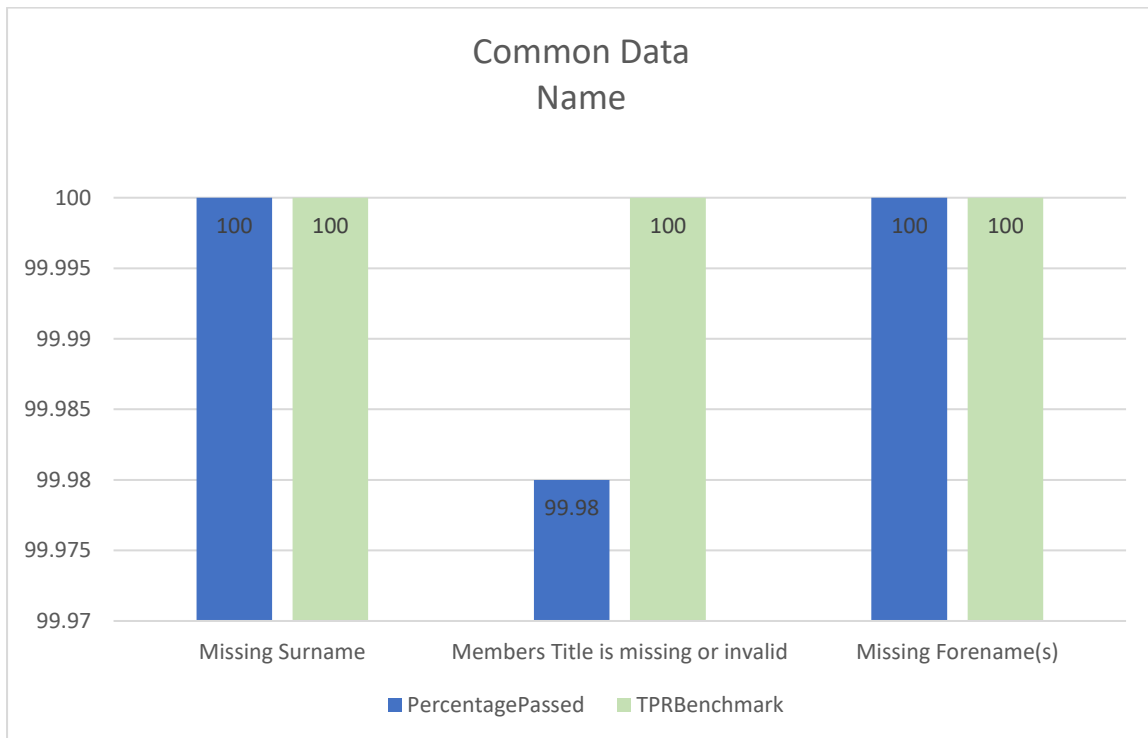
4,094 members are missing a postcode.

60 members have a comma in the address and will require data cleansing prior to next years extract.

The 3,580 will be included in the 4,094 due to no address being held.

This has raised the requirement to perform an address Trace exercise using our third-party provider Target.

3.5 Name

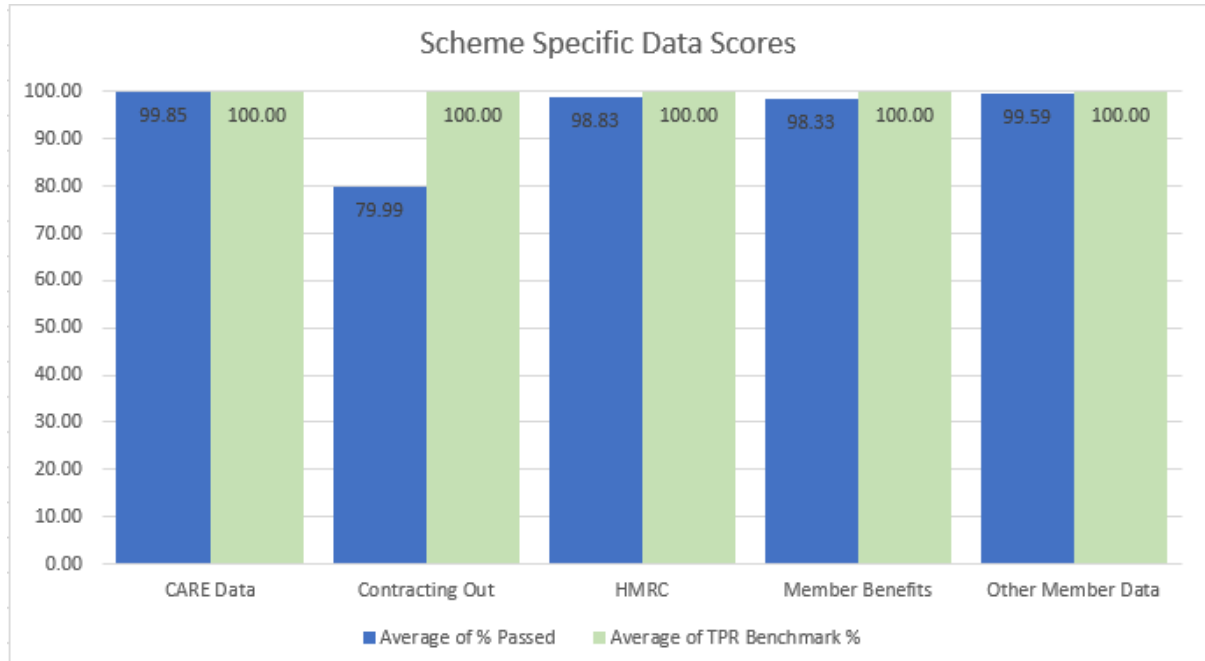


All member records have a valid forename and Surname.

The number of members failing tests is 32 for missing or invalid title.

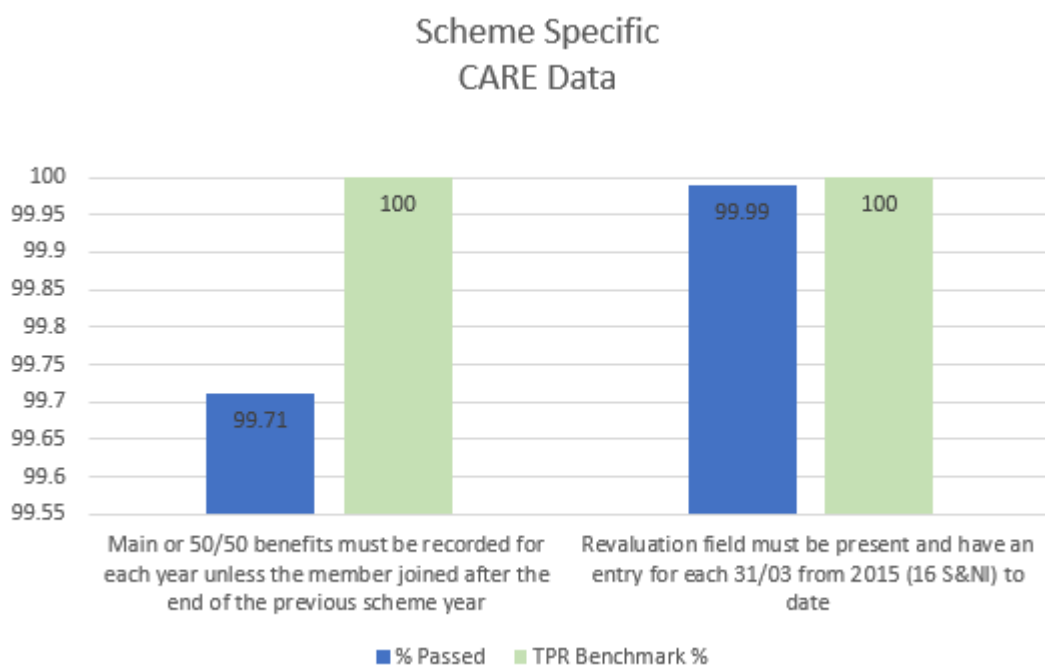
4.0 Scheme Specific Data Results

The graphs below indicate DCPF's performance for each category. The results presented are generated from data extracted from DCPF's Live UPM service on 31st July 2024. The overall percentage of validations for DCPF's scheme specific data is **95.30%** which is slightly higher than 2023 score of 94.77%. The tests conducted on 135,558 members.



Summary of Scheme Specific Data Results

4.1 CARE Data



This category includes those data items required to calculate Career Average Revalued Earnings (CARE) benefits.

A total number of 92,996 members qualified for the checks as part of the in-scope conditions under this category.

Dorset set a 90% minimum benchmark target and achieved 99.85% a slight increase on 2023 pass rate of 99.78%.

4.2 Contracting Out



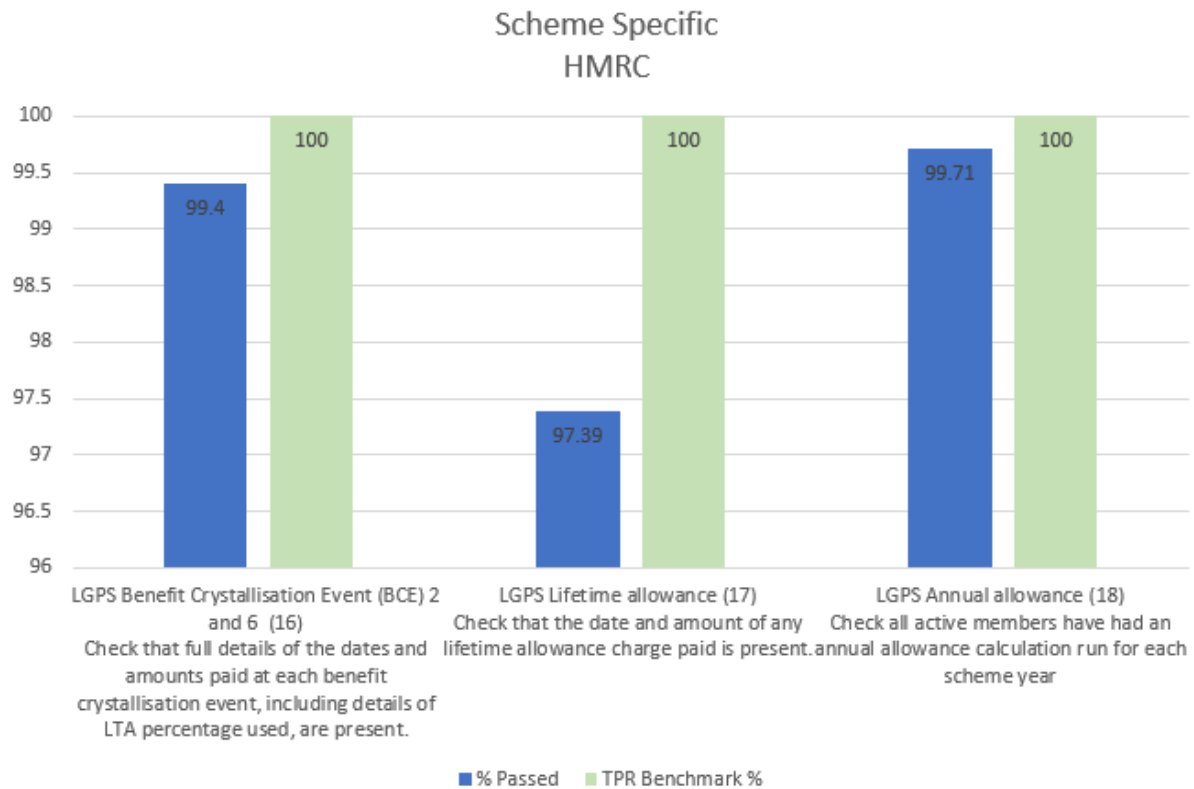
This category includes those data items required to meet scheme contracting out conditions.

A total number of 86,550 members qualified for one or more checks as part of the in-scope conditions under this category.

Dorset set a 90% minimum benchmark target and achieved **79.99%** pass rate, placing Contracting Out below average. The condition with the highest benchmark fails was achieved in the condition **Post-88 GMP**, where 2,864 members failed the test. **Pre-88 GMP**, 1662 members failed, **NI Contributions**, 3,193 members failed.

Fails in this category are likely to have an impact on GMP Reconciliation and should be resolved as part of the GMP Reconciliation process.

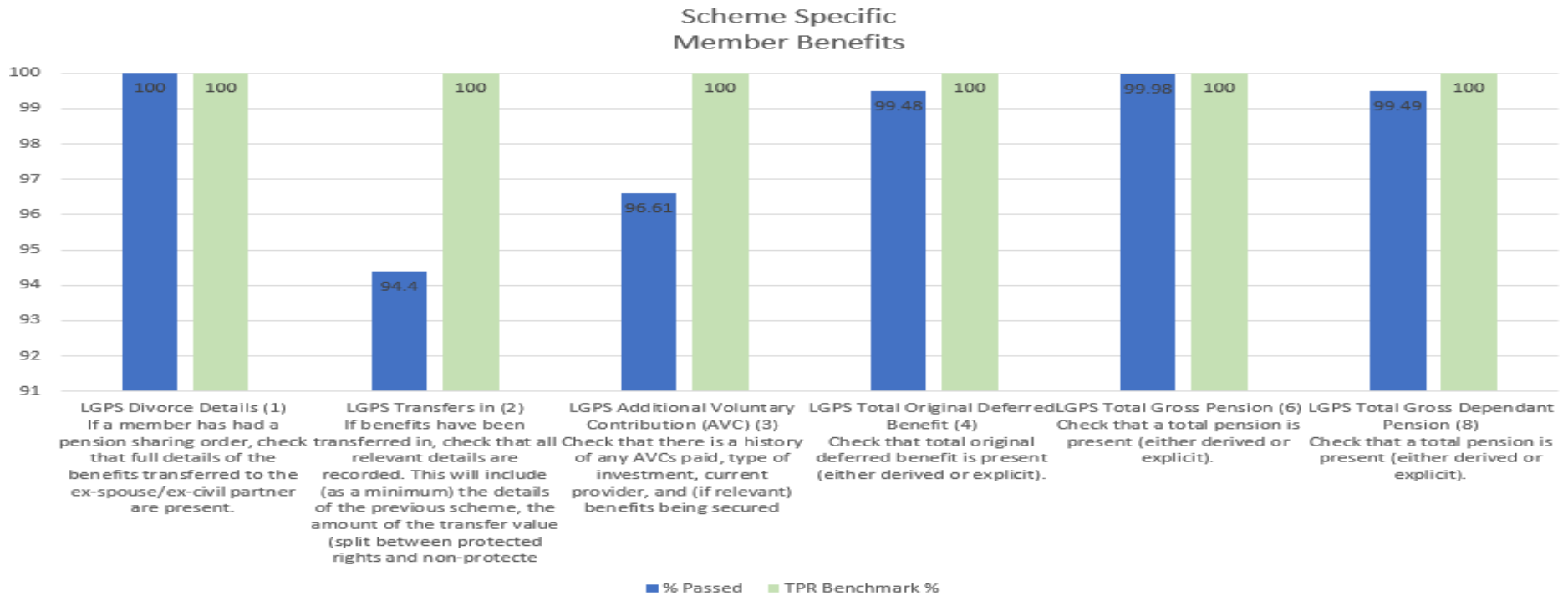
4.3 HMRC Data



This category includes those values recorded because of the Finance Act 2004 as well as Pre A-Day limits. A total number of 67,048 members qualified for one or more checks as part of the in-scope conditions under this category, an increase of 1,311 on 2023.

Dorset set a 90% minimum benchmark target and achieved a pass rate **98.83% a slight increase on 2023 pass rate of 98.46%**. The condition with the highest benchmark fails was achieved in the condition **LGPS Lifetime Allowance**, 526 a decrease of 177 fails in 2023.

4.4 Member Benefit Data

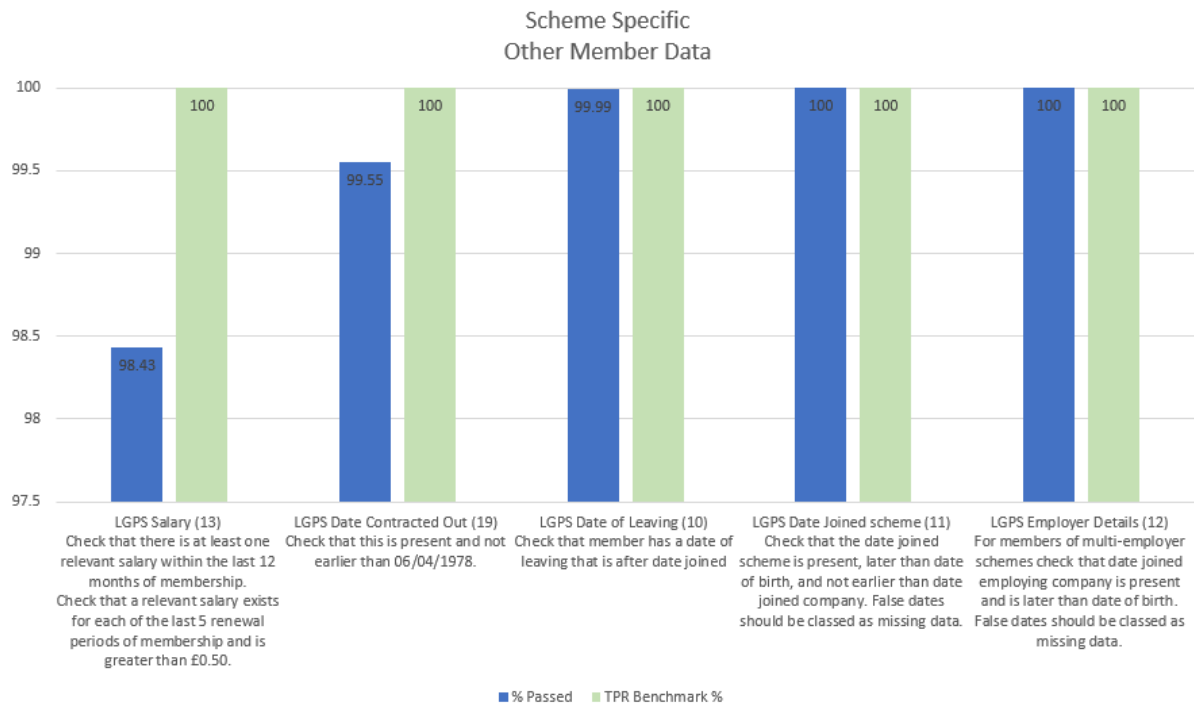


This category includes those data conditions for members that directly relate to the details of benefits in payment or to the calculation of benefits yet to be paid.

A total number of 61,198 members qualified for one more check as part of the in-scope conditions under this category, an increase of 1,275 member in 2023.

Dorset set a 90% minimum benchmark target and achieved a pass rate **98.33%** an increase of 1.95% on 2023. The condition with the highest benchmark fails lowest was achieved in the condition **LGPS Transfers in**, 179 members failed a decrease of 93 members fails in 2023.

4.5 Other Member Data



This category includes those data items that may be used in the calculation of member benefits.

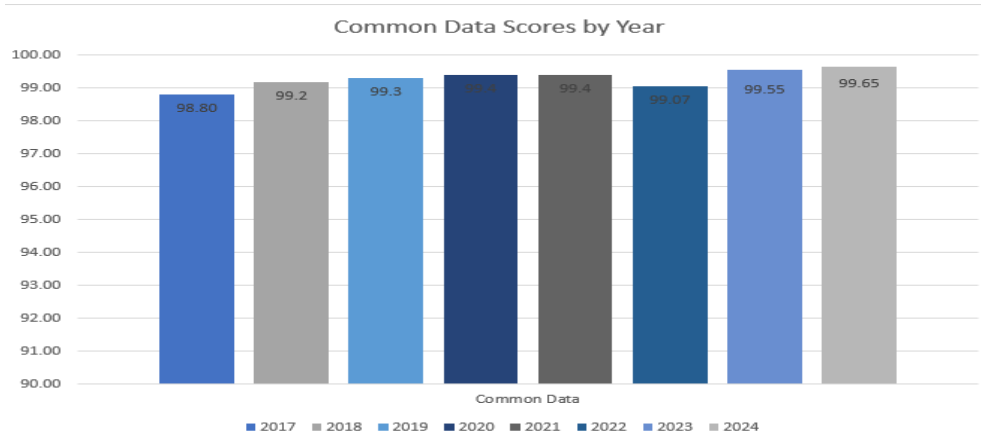
A total number of 79,544 members qualified for one or more checks as part of the in-scope conditions under this category, an increase of 143 members in 2023.

Dorset set a 90% minimum benchmark target and achieved a pass rate **99.59%** a slight increase of 1.46% on 2023. The condition with the highest benchmark fails lowest was achieved in the condition **LGPS Salary**, 851 members failed a decrease of 778 members fails in 2023.

5.0 TPR Data Scores Test Results

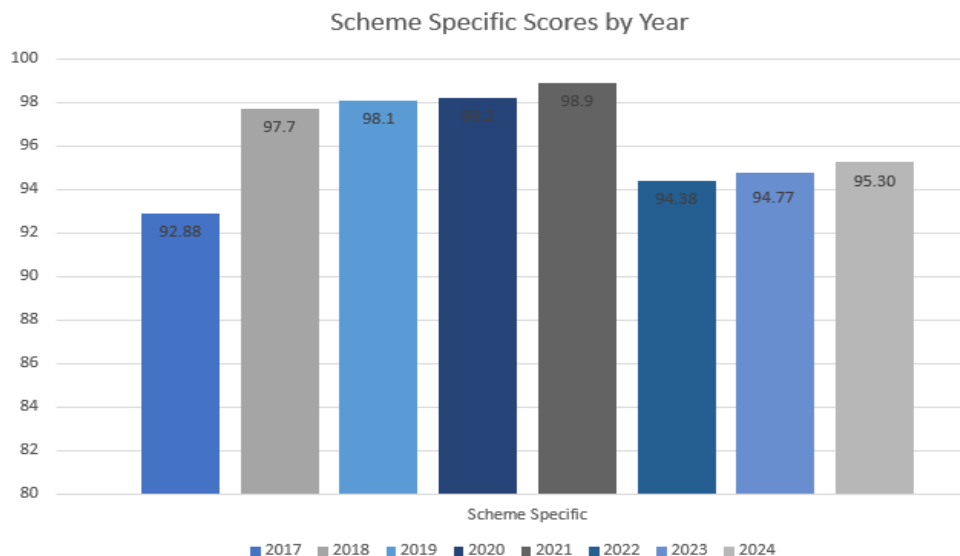
5.1 Common Data Score

The percentage of member records that did not fail any of the tests deemed to begin in the core list of TPR tests is **99.65%**. This represents an increase on the 2023 score of 0.10%. This is the figure to be quoted on the common data return to the TPR. The results for each qualifying category are shown below:



5.2 Scheme Specific Data Score

The percentage of member records that did not fail any of the tests deemed to begin in the core list of TPR tests is **95.30%**. This represents an increase on the 2023 score of 0.53%. This is the figure to be quoted on the scheme return to the TPR. The results for each qualifying category are shown below:



6.0 Scheme Specific Data Extract Issues Raised with CIVICA for development and to improve Dorset County Pension Fund Scores

Dorset County Pension Fund have identified issues with the Scheme Specific Data extracts which has been raised with CIVICA to improve the scores.

Scheme specific data to be tested	TPR Guidance	Issue
LGPS Benefit Crystallisation Event (BCE) 2 and 6 (16)	Check that full details of the dates and amounts paid at each benefit crystallisation event, including details of LTA percentage used, are present.	<p>Originally 7458 members failed this. Upon inspection, these members DO have the required data items (LTA% used, Pension & Lump Sum).</p> <p>Correction to the report will reduce the number fails to 121.</p>
LGPS CARE Data	Check that accrued benefit details are present if they are updated and recorded annually. If benefits are calculated from first principles when member leaves, all relevant salary & contribution will be required instead.	<p>Originally 14451 failed this. It was including undecided leavers, who are active on the system but have a leaver (i.e. deferment, refund, retirement etc) process against their record. The CARE will be on the record once the leaver has been processed, therefore is not a failure.</p> <p>Members who joined the scheme after 31/03/2024 should not be reported.</p> <p>Deferred members have failed because the CARE line for the year of leaving does not have a revaluation amount, this is not applicable as the member requires a Part Year Revaluation.</p> <p>Correction to the report will reduce the number fails to 67.</p>

Scheme specific data to be tested	TPR Guidance	Issue
LGPS Annual allowance (18)	Check all active members have had an annual allowance calculation run for each scheme year	<p>Originally 3312 failed this. It was including undecided leavers, who are active on the system but have a leaver (i.e. deferment, refund, retirement etc) process against their record. The AA will be populated when the leaver has been processed, therefore is not a failure.</p> <p>Members who joined the scheme after 31/03/2024 should not be reported.</p> <p>Correction to the report will reduce the number fails to 79.</p>
<p>LGPS Tranches of Original Deferred Benefit (5)</p> <p>LGPS Tranches of Pension (7)</p> <p>LGPS Tranches of Dependant Pension (9)</p>	<p>Checks that the tranches we hold on deferment, retirement and beneficiary records are correct.</p> <p>For example, a member who joined in 1993 and left in 2015 has a Final Salary pension element and a CARE element on their pension history.</p>	<p>These are incorrect, therefore have been excluded</p> <p>For these, we had a pass rate of the following: -</p> <p>Deferred Benefits - 24.64%</p> <p>Pensioner - 40.05%</p> <p>Beneficiary - 49.08%</p> <p>The correct elements are on the pension history for these members.</p> <p>Advice is required from CIVICA to understand what elements the report is extracting. If the report is extracting 'Normal Pension' for Final Salary service DCPF hold a different element 'Basic Pension'.</p>

7.0 Validation Test Criteria

No	Validation Name	Worksheet Name	Regulator Group	Validation Group	Criticality	Benchmark	Description
1	Invalid or Temporary NI Number	Invalid or Temporary NI Number	Common	Basic	High	90	Returns FAIL for members where NI Number does not equal nine characters, the first two characters must not be TN, GB, NK, ZZ the first character must not be D, F, I, Q, U, V the second character must not be D, F, I, O, Q, U, V the last character must not be A, B, C, D
2	Duplicate effective date in status history	Duplicate status history date	Common	Basic	High	90	Returns members who have a duplicate Date Effective date on table UPMFolderstatushist
3	Gender is not Male or Female	Gender is not Male or Female	Common	Basic	High	90	Returns all members where the gender IS NOT equal to M or F
4	Duplicate entries in status history	Duplicate Statuses	Common	Basic	High	90	Returns all members with a duplicate entry in table UPMFolderstatushist
5	Missing (or known false) Date of Birth	Missing Date of Birth	Common	Basic	High	90	Returns all members with a missing Date of Birth (DOB) from table UPMPerson
6	Presence of Lifetime Allowance Charges	Check for LTA Charges	Conditional	HMRC	Low	50	Check for LTA Charges - looks at members who have an 'RECTAXCH' element attached to a benefit Crystallisation Event and if so, makes sure there is a date and a value of greater than zero recorded.
7	No scheme history entries	No scheme history	Conditional	History	High	90	Returns all members with NO Date joined on table UPMFolderschemehist. This test excludes beneficiary pensioner members.
8	Date Joined Company is after Date Joined Scheme	DJC greater than DJS	Conditional	History	High	90	Returns all members where Date Joined Company (DJC) is greater than Date Joined Scheme (DJS)
9	Date Joined Scheme greater than first status entry	DJS > Status History	Common	History	High	90	Returns all members where date joined scheme (DJS) is greater than the earliest effective date on table UPMFolderschemehist. Please note that folder status 'G' (Group Life Only)
10	Pension post State Pension Age with no Guaranteed Minimum Pension Values	Pen Post SPA missing GMP	Conditional	Pensioner	High	90	Pensioner Post SPA (State Pension Age), contracted out with missing GMP
11	Missing Surname	Missing Surname	Common	Basic	High	90	Member has missing Surname on UPMPerson table
12	Basic salary is zero or negative	Basic salary is zero or negative	Conditional	Financial	High	90	Returns all members that have missing or negative basic salary on UPMSalary table
13	Invalid part time percentage	Invalid part time percentage	Additional	History	High	90	Returns all members with an invalid part time percentage on table UPMService Part time hours must be present and greater than zero and must be less than full time hours.
14	Latest Pension History is not the Current history	Latest Pen Hist NOT current	Conditional	Pensioner	High	90	Returns all members where the latest pension history is not the current history where the folder status is equal to 'P'
15	Pension record with incorrect status	Pen attached to wrong Status	Conditional	History	High	90	Payroll member with incorrect folder status. Checks that folder status IS NOT P, B, X, C, F, NL, CS, T, and checks that the suspended flag on the payroll record IS NOT set to N.

16	Members annual pension not divisible by 12	Mem Pen not divisible by 12	Additional	Pensioner	Medium	70	Returns members who have a pension total that is not divisible by 12 This tests that the pension type is Member, the deferred flag is 'N' the pension is the current pension, and the members status is Pensioner or Beneficiary Pensioner
17	The value of the current total does not equal the sum of pension components	Current Pen equals elements	Conditional	Pensioner	High	90	Returns all members where the total pension value on table UPM Pension does not equal the sum of the elements on table UPM Pension elements.
18	Incorrect Gender for members title	Incorrect Gender for title	Common	Basic	Medium	70	Returns Active and Deferred members with gender/title mismatch Test is for gender M and title Miss or Mrs or gender F and title Mr.
19	Total pension value is zero or negative	Total Pen 0 or negative	Conditional	Pensioner	High	90	Returns members who have a total pension value of 0 or less where the pension type is member, status is Current and deferred is No
20	Deferred total current pension value does not equal the sum of the pension components	DEF Tot Pen does = elements	Conditional	Deferred	Medium	70	Returns all members where the total pension value IS NOT equal to the sum of the elements where the DEFERRED flag is set to Yes. BE CAREFUL - when you click on a row in the pension history it will open a window showing the pension elements for that pension. The total on the breakdown window is calculated as the sum of the elements so will be correct. This test compares the sum of the element to the total value on the pension history.
21	Invalid Date of Birth (This test includes members over seventy-five and who are still Active, or members underage of Sixteen and who are not a Beneficiary)	Invalid DOB	Common	Basic	High	90	Returns members with invalid DOB (Date of Birth) and Active members that are over seventy-five and members that are under 16 and not a beneficiary pensioner.
22	Gaps in service history	Gaps in service history	Additional	History	High	90	Returns members where there is a gap of greater than 1 day between any rows in the service history.
23	No entry in the status history	No entry in the status history	Common	Basic	High	90	Returns all members that have a valid Folder record but no entry in the UPMFolderStatusHist table
24	The earliest service dates entry does not equal the Date Joined Company	Earliest Serv Date not = DJC	Conditional	History	High	90	Returns all members where the earliest date started on the table UPMService IS NOT equal to the DJC (Date Joined Company) on the table UPMFolder.
25	Last entry in status history does not match status	Stat Hist not = status	Common	Basic	High	90	Returns all members where the folder status for the maximum Date Effective on the table UPMFolderStatusHist is NOT equal to the folder status on the table UPMFolder.
26	Member has no employing company recorded	Member has no company	Conditional	Basic	Medium	70	Returns all members where the CompanyID is missing from the UPMFolder table
27	Category of membership status not on member record	No folderstatusfg on UPMFolder	Conditional	Basic	High	90	Returns all members where there is no folder status on table UPMFolder
28	Member has no pay location	Member has no pay location	Additional	Basic	High	90	Returns all members with a missing PaylocationID on the table UPMFolder
30	Duplicate NI numbers	Duplicate NI numbers	Common	Basic	Medium	70	Returns all members with a duplicate National Insurance Number on file. PLEASE NOTE - In most cases this may be ok but should be investigated

							where the member is active because there may be problems when loading annual renewal data.
29	Service and scheme history entries do not agree	Service & Scheme Hist no match	Conditional	History	High	90	Returns all members where the service and scheme histories are not consistent for each entry in the scheme history there should be corresponding entries in the service record for the start and end date and these entries should have the pensionable service flag set to yes
31	Duplicate or overlapping service histories	Dupli or overlapping Serv Hist	Additional	History	High	90	Returns all members with duplicated or overlapping service periods where the pensionable service flag is set to yes
32	Duplicate scheme history entries	Duplicate Scheme Hist	Additional	History	High	90	Returns all members where there are duplicate Date joined entries on the table UPMFolderSchemeHist
33	Member has missing scheme	Member has missing scheme	Additional	Basic	High	90	Returns all members with a missing SchemeID on table UPMFolder
34	Member does not have a place of work on the service history (this needs to match the place of work held on the member record for consistency)	No Area on UPMService	Additional	Basic	Medium	70	Returns all members with missing or incorrect ArealID on table UPMService The ArealID is tested against valid Area codes for the client.
35	Member does not have a place of work	Member does not have an area	Additional	Basic	Medium	70	Returns all members with a missing ArealID on table UPMFolder
36	The spouses total current pension entry does not equal the sum of the pension components	Tot Pen not = elements Pen	Conditional	Pensioner	Medium	70	Returns all members where the total pension value IS NOT equal to the sum of the elements where the DEFERRED flag is set to No and the PENSIONTYPE is Spouse. BE CAREFUL - when you click on a row in the pension history it will open a window showing the pension elements for that pension. The total on the breakdown window is calculated as the sum of the elements so will be correct. This test compares the sum of the element to the total value on the pension history.
38	Full time service flag but part time hours entry in service history	FT with declared Hours	Additional	History	High	90	Returns all members that have a fulltime flag but also have entries in part time hours or full-time hours on table UPMService
39	Pension amounts do not match payroll amounts	Pen not = Payroll Pen	Conditional	Pensioner	High	90	Returns Pensioners and Beneficiaries where their annual pension / 12 does not equal the value paid by payroll on the latest complete pay run where the Pay element description is 'Pension'
40	Beneficiary pensioner with no current pension	Ben Pen no Pension	Additional	Pensioner	High	90	RETURNS ALL BENFICIARY MEMBERS WITH NO CURRENT PENSION
41	Absent service history entries with part time hours	Absent Serv Hist with PT hrs.	Additional	History	Medium	70	Returns members with a service entry with service set to ABSENT and Part-time hours NOT equal to 0
42	Effective Date of current pension is greater than Normal Retirement Date and Bridging Pension component is present	Pen Date>NRD & Brid Pen Elements	Additional	Pensioner	High	90	Returns members where the current pension date effective is greater than the Normal Retirement Date (NRD), Expected retirement date on UPMFolder and there is a pension element containing the words 'Bridging Pension'
43	No entries in basic/pensionable/other salary	Zero salary entries	Conditional	Financial	Medium	70	Returns members where the values for Basic salary, Pensionable salary, other salary other salary one and other salary two from table UPMSalary are all missing or zero.

45	Pensioners and Beneficiaries with no current pension components	Pen & Ben Pen, no pen elements	Conditional	Pensioner	High	90	Returns all Pensioner and Beneficiary Pensioner members with NO pension elements The test is against pension entries with a 'Current' status and will test on Pension types 'Member', 'Spouse' and 'Children's'
51	Active Member has no salary in last 12 months	Act no salary in last 12mths	Conditional	Financial	High	90	Returns all active members with no salary in the last 12 months on table UPMSalary
52	Member has no address (status Active, Deferred, Pensioner)	No address	Common	Basic	High	90	Returns all members with a missing address or an address containing the text 'unknown' or 'gone away'. This test is for members with folder status Active, deferred, pensioner or beneficiary pensioner.
53	Active or Deferred member past expected retirement date	Retirement Date in the past	Additional	Basic	Medium	70	Returns all members who have passed their Normal retirement Date (NRD), target retirement date on table UPMFolder. This test relates to folder status Active and Deferred
63	Active member with no active service history	ACT but no ACT serv hist	Conditional	History	High	90	Returns all active members with NO active service history.
64	Non active member with an active service history	Non-ACT with ACT serv hist	Conditional	History	Medium	70	Returns all Non active members who have an active service history entry.
65	Date of Birth is after Date Joined Scheme	DOB greater than DJS	Conditional	Basic	High	90	Returns all members where Date of Birth (DOB) is greater than Date Joined Scheme (DJS)
66	Pensioner Pre-State Pension Age with Guaranteed Minimum Pension values	Pen Pre SPA with GMP	Additional	History	Medium	70	Returns pensioner members that are Pre-State Pension Age (SPA) and were contracted out and have pension elements containing the text 'GMP'
67	Invalid marital status	Invalid marital status	Additional	Basic	Low	50	Returns all members with invalid marital status codes. The test for invalid is ' ' or NULL
68	Members Title is missing or invalid	Title is missing or invalid	Additional	Basic	Low	50	Returns all members with missing or invalid titles Invalid entries for Females are Master, Mr., Sir Invalid entries for Males are Dame, LD, Miss, Mrs, Ms
69	Deferred members with no pension history marked as current (this means all pension history entries are shown as previous)	DEF with no Pen Elements	Conditional	Deferred	Medium	70	Returns all current deferred members that have NO current pension elements. The test is against pension entries with a 'Current' status and will test on Pension types 'Member', 'Spouse' and 'Spouse Death in Deferment'
70	Pensioners and Beneficiaries with no pension record	Pen & Ben Pen, no Pen record	Conditional	Pensioner	High	90	Returns all pensioners and beneficiary pensioners with NO pension records
71	Deferred members with no pension record	DEF with no Pen Records	Conditional	Deferred	Medium	70	Returns all deferred members with NO pension records
72	Deferred service history has no end date	Def serv hist has no end date	Conditional	History	Medium	70	Returns all deferred members with NO end date on the latest service line on table UPMService
73	Processes currently ongoing against Active or Deferred records	Act Proc on Act or Def recs	Additional	Warning	Low	50	Returns all active and deferred members that currently have an active process
74	Active member with no Pension Input in last Scheme Year	ACT no Pension Input in 12M	Additional	HMRC	Low	50	Active members with no pension input values in last 12 months Last 12 months is calculated as 12 months from the last scheme renewal date as defined on the scheme history parameters.

76	Missing Forename(s)	Missing Forename	Common	Basic	High	90	Returns all members with missing forename(s) on table UPMPerson
77	Missing Scheme Retirement Date	Missing SRD	Common	Basic	High	90	Missing Scheme Retirement date (SRD) expected retirement date on table UPMPerson
78	Missing postcode	Missing postcode	Common	Basic	Low	50	Returns all members with missing entry in the postcode field. It is possible that the postcode may be present in one of the other address fields. PLEASE NOTE: If the member has the address flag set to 'O' (Overseas) and this will not fail if the postcode is missing.
79	Member has invalid or missing Benefit Crystallisation Event (BCE) details. A BCE is an event in a registered scheme which triggers a test of the member's benefits against the Lifetime Allowance (such as retiring and taking a Pension Commencement Lump Sum)	Invalid or missing BCE	Conditional	HMRC	Medium	70	Returns Pensioner members with invalid BCE entries. This validation could cause an error if there is invalid data in the 'value' column of the LTAPERC element which stops it being converted to a double. The code will replace any comma's or £'s it finds but there may be other characters in the column that cannot be converted.
80	Member has no Contribution History	Missing Contribution History	Conditional	Financial	Medium	70	Checks to make sure member has a total contribution value of greater than 0 for any schemes that are not marked as DC. Includes active, deferred, pending statuses.
82	Deferred members with no current pension components	DEF with no Cur Pen Records	Conditional	Deferred	Medium	70	Returns all current deferred members with NO pension record. This test looks for a pension record with pension type = member and status = current.
83	Zero or No entries in Gross/Basic/Fluctuating/ P60 Earnings	Zero Earnings entries	Conditional	Financial	Medium	70	Returns all members with missing or zero gross earnings, basic earnings, fluctuating earnings, earnings adjustment or P60 earnings from table UPMEarnings.
84	Active Member has no earnings in last 12 months	Act no earnings in last 12mths	Conditional	Financial	High	90	Returns active members with no earnings in last 12 months. This test looks at table UPMEarnings
81	Check for Date Contracted Out	Check for Date Contracted Out	Conditional	History	High	90	Checks for all members who either have contracted out history or a contracted-out date on their folder record. Checks that folder contracted out date has corresponding contracted out details in history for that exact date. Checks that the latest contracted out history start date matches folder level date if history end date is null. Checks that neither latest history nor folder level contracted out date is earlier than 6/04/1978.
85	Missing Date Joined Pensionable Service	Missing DJPS	Common	Basic	High	90	Returns all members with missing Date Joined Scheme (DJS). This test looks at the minimum date on table UPMFolderschemehist
86	Check for commas in members address	Check for commas in address	Additional	Basic	Low	50	check for commas in members address on table UPMPerson. Commas will cause errors in data extracts where the data is extracted as Comma Separated Variable (CSV)
87	Check for Protected Cash at A Day	Check for Protected Cash at A Day	Conditional	HMRC	Medium	70	Checks for Protected Cash at A Day. This test looks for ADaybenefitelementtyperef of 'Protected Cash' where member was active prior to 6/4/2006 and is either still active or date Of Leaving (DOL) is after 5/4/2006

88	Check for Protected Fund at A Day	Check for Protected Fund at A Day	Conditional	HMRC	Medium	70	Check for Protected Fund at A Day. This test looks for ADaybenefitelementtyperef of 'Protected Fund Value' where member was active prior to 6/4/2006 and is either still active or date Of Leaving (DOL) is after 5/4/2006
89	Non-Active member with missing date of leaving	Non-Active missing date left	Conditional	Basic	Medium	70	Fail members who are not active and who have no date left populated in upmfolderschemehist.
90	Check last 5 years salary or Earnings history	Check last 5 years salary hist	Conditional	Financial	High	90	Checks that either salary data or earnings data exists for the members last 5 years of service. If the member was not active for 5 years, checks that data exists for each year the member was active. Salary/Earnings data is discounted if all columns are null or zero.
91	Check Contracted Out contributions/earnings history	Check COE hist	Conditional	Financial	Medium	70	Checks that an NI contribution entry exists for each year after members date contracted out and up to the current year if active, Date of Leaving if not active. Script compares the number of years expected with the number of years that exist, allows slight discrepancies. Excludes members with 'No Liability' or 'Beneficiary' status.
92	Invalid pension type	Invalid pension type	Conditional	Basic	Medium	70	Checks to make sure there are no invalid pension types. (e.g., SD)
93	Date Of Retirement/Date Pension Started is present and after Date Joined Scheme	DOR greater than DJS	Conditional	Pensioner	High	90	Checks that the date joined from the UPMFolderSchemeHist table is less than the Retirement Date on the UPMRetirement table. If the DJS is less than the DOR the test will pass, If the DJS is the same or greater than the DOR the test will Fail. This test is run for members with a Pensioner status.
95	Pension Sharing order details	Pension Sharing order details	Conditional	Divorce	Medium	70	Returns FAIL for members where a Pension Sharing Order has been made but some of the details are missing. The test will fail if any of the following data is missing: Ex-Spouse Split percentage-Spouse Split amount, Surname, Forenames, Ex-Spouse type, Title, Surname, Forenames, Address Fields Date of Birth, Gender, NI Number, Legal System, Transfer Option or where there is no entry in UPMExSpouse or UPMCourtOrderDetails but there is a note on the members folder containing the word "Court Order". Please note: If the Ex-Spouse Type is missing then both the Pension Sharing Order and Earmarking tests will fail.
96	Children's pensions in payment after age 18	Children's pension check	Additional	Pensioner	High	90	Checks for Current Pension where pension type is 'C' (Childs), and current age (based at run date) is over seventeen. Children between 18 and 23 may be entitled to continued pension if they are in full time education. As there will be very few cases they can be catered in the report commentary
97	Earmarking order details	Earmarking order details	Conditional	Divorce	Medium	70	Returns FAIL for members where an Earmarking Order has been made but some of the details are missing The test will fail if any of the following data is missing: Pension percentage, Pension amount, Lump Sum percentage, Lump Sum amount, Amount type, Lump Sum death benefit, Ex-Spouse type, Title, Surname, Forenames, Address Fields, Date of Birth, Gender, NI Number, Legal System, Transfer Option or where there is no entry in UPMExSpouse or UPMCourtOrderDetails but there is a note on the members folder containing the word "earmarking". Please note: If the Ex-Spouse Type is missing then both the Pension Sharing Order and Earmarking tests will fail.

101	Negative Pension Element	Negative Pension Element	Additional	Pensioner	Medium	70	Returns all current Pension and Deferred Pension Elements where any of the elements are a negative value.
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